U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent C	owner: FISHMAN, ILYA M. et a	ıl.		
Application No./Pa	tent No.: 09/416,081 7,061,	657	Filed/Issue Date: October 12, 1999 June 13, 2006	
Titled: MULTICHANNEL OPTICAL COMMUNICATION SYSTEM AND METHOD UTILIZING WAVELENGTH AND COHERENCE DIVISION MULTIPLEXING				
FUTUREWEI TECHNOLOGIES, INC. , a Corporation				
(Name of Assignee) (Type of Ass			of Assignee, e.g., corporation, partnership, university, government agency, etc.	
states that it is:				
1. X the ass	signee of the entire right, title, and	interest in;		
2. an ass (The e	an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is			
3. the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)				
the patent application/patent identified above, by virtue of either:				
the Un	An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy therefore is attached.			
OR SOP)	iororo io attaorioa.			
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:				
1. From: FISHMAN, ILYA M. et al. To: OPTIMIGHT COMMUNICATION				
			es Patent and Trademark Office at, or for which a copy thereof is attached.	
2. Fro	m: OPTIMIGHT COMMUNIC	ATIONS, INC.	To: FUTUREWEI TECHNOLOGIES, INC.	
	The document was recorded in	the United Stat	es Patent and Trademark Office at	
	Reel 026714 ,	Frame <u>0452</u>	, or for which a copy thereof is attached.	
3. Fro	im:		To:	
The document was recorded in the United States Patent and Trademark Office at				
	Reel,	Frame	, or for which a copy thereof is attached.	
Additional documents in the chain of title are listed on a supplemental sheet(s).				
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.				
[NOTE: A s	eparate copy (i.e., a true copy of with 37 CFR Part 3, to record the	the original assi assignment in t	gnment document(s)) must be submitted to Assignment Division in he records of the USPTO. <u>See</u> MPEP 302.08]	
The undersigned (	whose title is supplied below) is a	uthorized to act of	on behalf of the assignee.	
/Franklin Han/			September 14, 2011	
Signature			Date	
Franklin Han Reg. No. 41,055			Attorney of Record	
Printed or Typed Name			Title	

This collection of information is required by 37 CFR 373(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) an application. Confidentiality is governed by 35 US of. 12 and 37 CFR 1.1 and 1.14. This collection is estimated to lake 12 minutes to complete including gathering, preparine, and submitting the completed application form to the USFTO. Thre will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for rectaining this burden, should be sent to the Chef information Ordinor, US. Palent and Trademark Office. US. Papert and Cardemark Office. US. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandoment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) ro issuance of a patent pursuant to 35 U.S.C. 121(b) ro issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or reculation.